

REMARKS

Applicant has carefully considered the Examiner's Office Action and has amended the claims to define the invention in clearer form and to distinguish patentably from the prior art.

Applicant has also amended the specification to provide for the required section headings, and to avoid reference to specific claim numbers.

In amending the claims, applicant has replaced claims 1-10 which were originally filed in the application, with the newly submitted claims 16-26.

These new claims 16-26 include the subject matter and limitations that are not to be found in the prior art.

In considering applicant's invention in relation to the prior art, applicant notes that the reference patent to Berchem (4,532,686) is concerned with only the bottom of a piston. This is in contrast to applicant's invention which concerns the entire piston and not only the bottom of it as in this reference patent.

Thus, the reference patent to Berchem discloses a method for fabricating a piston bottom for internal-combustion engines in which a blank that is saucer-shaped is forged from a refractory steel, and the saucer-shaped blank is then converted into a cup shape by further forging. The cup is provided with a planar rim that is transformed into a cylindrical shoulder. An annular bulge of the blank is formed into an annular boss for connecting the piston bottom of the cylindrical piston body. The blank has a convex shape projecting on one side of the blank opposite to that formed with the bulge. Thereafter, the cup is subjected to a heat treatment.

Unlike the reference patent to Berchem (4,532,686) applicant provides a method for manufacturing piston for internal-combustion engines, in which a blank which is intended to comprise a piston, is at first forged along a prescribed axis,

and specific contours are shaped on the forged blank to form a preliminarily shaped piston.

In at least one subsequent manufacturing step, the preliminarily-shaped piston is forged along at least one other axis for creating additional contours.

According to applicant's invention and unlike the reference patent to Berchem, the piston is formed by two separate forging steps. Each of these steps provides a different contour along a different axis.

The reference patent to Berchem does not at all disclose the manufacture of an entire piston, not just a piston bottom, in which two separate contours are fabricated by two separate forging steps, and that these separate contours are shaped along different axes.

There is no hint, whatsoever, in this reference patent to Berchem that forging takes place at different axes with the formation of separate contours.

It is through applicant's invention that a more efficient fabrication process is realized, and that an improved product is obtained therefrom.

It is submitted that applicant provides for a new and marked improvement over the prior art.

Since the claims in the application define clearly the differences between applicant's invention and the prior art, it is believed that the claims should be found allowable.

Applicant has carefully studied the remaining references which were cited by the Examiner for being of interest but not applied in the case. After detailed analysis of these additional references, applicant has concluded that they are entirely unrelated to applicant's invention, and they do not anticipate the novel features of applicant's arrangement.

The Examiner's attention is respectfully directed to the Court decision in the case of *In re Bisley* (94 U.S.P.Q. 80, 86) in which the Court ruled that patentability is gauged not only by

Cyanamid Company (227 U.S.P.Q. 293), the Court decided that the issue of obviousness is determined entirely with reference to a hypothetical person having ordinary skill in the art. It is only that hypothetical person who is presumed to be aware of all the pertinent prior art. The actual inventor's skill is irrelevant to the inquiry, and this is for a very important reason. The statutory emphasis is on a person of ordinary skill. Inventor's, as a class, according to the concepts underlying the constitution and the statutes that have created the patent system, possess something that sets them apart from the workers of ordinary skill, and one should not go about determining obviousness under 35 U.S.C. 103 by inquiring into what patentees (i.e., inventors) would have known or would likely have done, faced with the revelation of references. A person of ordinary skill in the art is also presumed to be one who thinks along the line of conventional wisdom in the art and is not one who undertakes to innovate, whether by patient, and often expensive systematic research or by extraordinary insight; it makes no difference which.

With respect to combining references as the Examiner has done, the Court ruled in the case of Uniroyal Inc. versus Rudkin-Wiley Corporation (5 U.S.P.Q.2d 1434), that when prior art references require a selective combination to render obvious a subsequent invention, there must be some reason for the combination other than the hindsight gleaned from the invention itself. Something in the prior art as a whole must suggest the desirability, and thus the obviousness of making the combination.

The preceding decision is reinforced by In re Dow Chemical Company (5 U.S.P.Q.2d 1529), in which it was decided that most technological advance is the fruit of methodical persistent investigation, as is recognized in 35 U.S.C. §103. The consistent criterion for determination of obviousness is whether the prior art would have suggested to one of ordinary skill in the art that this process should be carried out and would have

reasonable likelihood of success, viewed in the light of the prior art. Both the suggestion and the expectation of success must be founded in the prior art, not in the applicant's disclosure.

In *Panduit Corporation v. Burndy Corporation* (180 U.S.P.Q. 498), the District Court ruled that inquiry into patentability must be directed towards subject matter as a whole and not to elements of combination and their individual novelty; combination which results in a more facile, economical, or efficient unit, or which provides results unachieved by prior art structures, cannot be anticipated piecemeal by showing that elements are individually old.

Finally, in the case of *Meng and Driessen* (181 U.S.P.Q. 94), the Court ruled that progress in crowded arts, usually made in small increments, is as important as it is in arts at the pioneer stage; constitution envisages and seeks progress in useful "arts," not just in those more esoteric or scientific.

In view of the amendments to the specification and the claims, and in view of the preceding remarks, it is respectfully requested that the claims in the application be allowed and the case be passed to issue.

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D. C. 20231, on 8-16-02

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VERSION WITH MARKINGS TO SHOW THE CHANGES
THAT HAVE BEEN MADE

1 METHOD OF MANUFACTURING PISTONS AND COMPONENTS THEREOF, AND

2 ~~FORGING TOOL~~ ^{FORGING TOOL & Forging Tool}

3 ^{BACKGROUND OF THE INVENTION}
The present invention concerns a method of manufacturing

4 pistons and components thereof, piston heads for example,
5 especially intended for internal-combustion engines.

6

7 German A 3 801 847 discloses a method of manufacturing
8 pistons for internal-combustion engines, each piston being
9 provided with at least one metal reinforcement. The
10 reinforcement, of a material with open pores, is heated and
11 introduced into a heated die. A prescribed amount of aluminum
12 or aluminum alloy is injected into the die. A plunger is
13 introduced into the die, compressing the cooling melt. The
14 compressed melt flows around the reinforcement and fills both
15 the piston mold and the reinforcement's pores. Once the melt
16 has hardened, the piston is removed from the die along with
17 the reinforcement and machine finished.

18

19 A piston especially intended for internal-combustion engines
20 is known from German A 19 935 410. This piston features a
21 shaft with a bore for a bolt and an adjacent annular field.
22 Webs extend from the bore toward the annular field and/or
23 toward the end of the shaft remote from the field. Pistons of
24 this type are preferably cast.

25

1 German A 3 222 582 describes a method of manufacturing a
2 base for a multiple-component piston, especially intended
3 for large diesel engines. The center of the base is domed
4 and surrounded by a shoulder and has an interior hub. The
5 shoulder accommodates piston rings and rests against a
6 separate piston shaft, onto which the hub can be screwed
7 and/or welded. In this method a bowl with an area that
8 matches the shape of the piston's center and has a
9 surrounding collar is in an initial shaping step forged
10 from a heat-resistant steel. The shoulder and the hub are
11 then in a subsequent shaping step forged out of the collar.
12 This approach, which involves forging axially in terms of
13 the piston's base, however, allows only contours with
14 prescribed wall thicknesses, especially radial wall
15 thicknesses, and the product is heavy and requires a lot of
16 material.

17
18 [The object of the present invention is a method of
19 manufacturing pistons and components thereof, piston heads
20 for example, whereby the easily worn-down aluminum skirts
21 are eliminated, less material is required, and the pistons
22 or components will be simple to manufacture with ideal wall
23 thicknesses. Another object of the present invention is a
24 forging tool that can be employed to easily manufacture
25 such pistons or components of even complex designs.]
26

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12 approach, which involves forging axially in terms of the
13 piston's base, however, allows only contours with prescribed
14 wall thicknesses, especially radial wall thicknesses, and the
15 product is heavy and requires a lot of material.

16 SUMMARY OF THE INVENTION

17 The object of the present invention is a method of
18 manufacturing pistons and components thereof, piston heads
19 for example, whereby the easily worn-down aluminum skirts are
20 eliminated, less material is required, and the pistons or
21 components will be simple to manufacture with ideal wall
22 thicknesses. Another object of the present invention is a
23 forging tool that can be employed to easily manufacture such
24 pistons or components of even complex designs.

25

1 This object is attained in accordance with the present
2 invention in a method of manufacturing pistons and components
3 thereof, piston heads for example, especially intended for
4 internal-combustion engines, wherein in an initial
5 manufacturing stage a blank that will eventually constitute
6 the piston or piston component is preliminarily forged along
7 a prescribed axis, shaping appropriate contours, and wherein
8 in at least one subsequent manufacturing step the
9 preliminarily shaped piston is finally forged along at least
10 one other axis, creating additional contours.

11

12 [Advantageous further embodiments of the method in accordance
13 with the present invention are addressed in the associated
14 subsidiary claims herein.]

15

16 The same object is also attained in accordance with the
17 present invention in a forging tool comprising various tool
18 parts in the vicinity of the upper and lower die halves,
19 whereby these tool parts can be advanced within planes
20 defined by axes toward a blank for the purpose of
21 preliminarily and finally shaping pistons and components
22 thereof and whereby tool parts of at least one die half are
23 employed for preliminary forging and tool parts of at least
24 one die half are employed for final forging.

25

1 [Advantageous further embodiments of the forging tool in
2 accordance with the present invention are addressed in the
3 relevant subsidiary claims herein.]

4

5 In a departure from the method of manufacture described in
6 German A 3 801 847, accordingly, a steel blank, optionally a
7 rod, that has been produced by multiple-dimensional
8 (multiple-axis) forging in one and the same forging tool can
9 be employed in accordance with the present invention.

10 Aluminum skirts like those employed in the prior art are

15 mutually perpendicular. When the shape is more complex,
16 however, the blank could conceivably also be forged over at
17 least one other plane at an angle to the aforesaid two axes.

18

19 This approach to the manufacture of a multiple-axis piston or
20 component thereof by forging solves, as hereintofores
21 mentioned, the problem of premature wear on the part of the
22 aluminum skirt typical of conventional configurations in that
23 the piston's or component's positioning skirt is steel and
24 integrated into the overall product. German A 322 582 in no
25 way intimates such a procedure. Furthermore, multiple-axis

1 forging of a blank, optionally a rod, can also produce
2 filigreed contours, which has been possible heretofore only
3 by casting, while consuming very little material.

4 BRIEF DESCRIPTION OF THE DRAWINGS

5 The present invention will now be specified with reference to
6 the accompanying drawing, wherein

7

8 Figure 1 is a sketch illustrating the principle involved in
9 manufacturing piston heads,

10

11 Figure 2 is a sketch illustrating a forging tool in
12 accordance with the present invention in principle,

13

14 and

15

16 Figure 3 illustrates a piston head forged in accordance with
17 the method illustrated in Figure 1 in the forging tool
18 illustrated in Figure 2.

19

20 DETAILED DESCRIPTION OF THE INVENTION

21 Figure 1 shows the steps involved in manufacturing a piston
22 head. A bar-shaped steel blank 1 is heated by induction for
23 example and upset in a die in axis 1'. The die can be
24 preliminarily heated if necessary. A cavity 2 is shaped out
25 of the blank in the same die and in the same direction, and a

Claims

1
2 16. ^a Method of manufacturing pistons and components ^{for pistons}
3 [thereof, piston heads for example, especially intended] for
4 internal-combustion engines, [wherein in an initial] ^{comprising the steps of}
5 (A) manufacturing ^{initially} [step (A)] a blank [(1) that will eventually] to
6 constitute [the] ^a piston or piston component [is] ^{by} preliminarily
7 [forged] ^{forging} along a prescribed axis, ^{and} [shaping] [appropriate] ^{specific}
8 contours ^(2, 3, 4, 5, 6), and wherein in at least one
9 subsequent manufacturing step ^{of forging} ~~(B)~~ the preliminarily-shaped
10 piston [(7) is finally forged] along at least one other axis
11 [(1'')] ^{for} creating additional contours, ⁽²⁾
12
13 17. ^a Method as ^{defined} in Claim 1, ^{16,} wherein said
14 [initial] manufacturing step (A) comprises preliminarily
15 shaping [the] ^{said} blank ~~(1)~~ along [an axis (K')] that constitutes its
16 ^a longitudinal axis ^{of said blank}.
17
18 18. ^a Method as ^{defined} in Claim ^{16,} wherein said blank is
19 [the initial manufacturing step (A)] a rod-like [and optionally]
20 [cylindrical] blank; [(1) is upset and provided with] ^{upsetting the blank} a skirt ~~(2)~~
21 and a cavity, [(2), whereby] ^{said} contours ^{being} [(3-6) are shaped onto] [the] said
22 skirt ~~(2)~~ along ^a ~~(2)~~ longitudinal axis ^{of said skirt} ~~(1)~~ in the vicinities
23 of ~~its~~ inner and outer circumferences ~~(2)~~ and of ~~its~~ upper
24 and lower faces ~~(2)~~ of said skirt.
25

INSERTS FOR CLAIM 16 ABOVE

- ① On the forged blank to form a preliminarily-shaped piston;
- ② said piston or piston component being formed by two separate forging steps, each of said steps having a different contour along a different axis.

1 19. ~~Method~~ ^{defined in claim 16, wherein said additional} as ~~in~~ ^{one of Claims 1 through 3, characterized}
 2 [in that in the subsequent manufacturing step (B) further]
 3 contours ~~are~~ ^{said other} shaped onto the preliminarily-shaped piston
 4 ~~along~~ ^{said other} another axis ~~by~~ ^{by} forging at approximately 90°
 5 to ^{said} the first axis ~~by~~ ^{prescribed}, [especially the ^{said first prescribed axis being a} longitudinal axis].
 6
 7 20. ~~Method~~ ^{defined in claim 16, wherein the} as ~~in~~ ^{one of Claims 1 through 4, characterized}
 8 [in that] initial manufacturing step (A) along the first ^{prescribed} axis
 9 and the subsequent manufacturing step (B) along the second ^{other}
 10 axis are carried out in the same forging tool ~~(10)~~ ^{into}
 11 which ^{said} the blank ~~can~~ ^{can be} [optionally] heated before [it is]
 12 [inserted.] insertion.
 13
 14 21. ~~Method~~ ^{defined in claim 16, wherein said} as ~~in~~ ^{one of Claims 1 through 5, characterized}
 15 [in that during the subsequent manufacturing step (B) the wall]
 16 [thickness of the] preliminarily shaped piston ~~is~~ ^{has a reducible wall thickness}
 17 [decreased, accompanied by the creation of] ^{and} reinforcements during
 18 ~~the subsequent manufacturing step (B).~~
 19
 20 22. ~~Method~~ ^{defined in claim 16, including the step of shaping} as ~~in~~ ^{one of Claims 1 through 6, characterized}
 21 [in that during one of the manufacturing steps (A & B) an]
 22 ^{an} integrated skirt ~~can~~ ^{in one of the two manufacturing steps} be shaped onto the preliminarily
 23 shaped piston ~~such that the~~ ^{said} skirt will be ^{being} accommodated
 24 within the skirt ~~circumference~~ ^{a circumference of said} (21) during the
 25 subsequent manufacturing step (B).

1 23. ^a Method as ^{defined in claim 16, wherein said blank is of steel.} ~~is~~ [one of Claims 1 through 7, characterized]

2 [in that a steel blank (1) is employed.]

3

4 24. ^a Method as ^{defined in claim 16, including an additional} ~~is~~ [one of Claims 1 through 8, characterized]

5 ^{manufacturing step for reforming said} ~~in that the piston (2)~~ [can optionally be reformed] within

6 another plane. [in still another manufacturing step.]

7

8 25. ^a Method as ^{defined in claim 16, including the steps of} [one of Claims 1 through 9,]

9 [characterized in that, especially in the manufacture of]

10 [piston heads (7)], ^{removing} [excess material (8) [is removed and/or] and producing

11 recesses (9) created, especially] by punching during at least

12 one of the manufacturing steps (A & B) in manufacturing said

13 piston head.

14 11. Forging tool with in the vicinity of an upper die

15 half (11) and of a lower die half (12) several parts (13, 14,

16 15, 16, & 17) that can be displaced toward a blank (1) over

17 planes defined by axes (1' & 1''), preliminarily and finally

18 shaping a piston or a component thereof, a piston head for

19 example, whereby the parts in at least one of the die halves

20 (11 or 12) can be employed for the preliminary forging and

21 the parts (16) in at least one lower die half (12) can be

22 employed for the final forging.

23

24 12. Forging tool as in Claim 11, characterized in that

25 the parts (13-15) in the upper die half (11) can be employed